



TFW Receipt

PTO/SB/21 (09-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number

10/041,034

Filing Date

December 28, 2001

First Named Inventor

Peter Gassner

Art Unit

2193

Examiner Name

Tuan A. Vu

Attorney Docket Number

021756-017130US

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/ Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

Request for Corrected Filing Receipt; Redline Copy of Updated Filing Receipt, Copy of Second Preliminary Amendment, Application Data Sheet, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Jason D. Lohr

Date

August 14, 2006

Reg. No.

48,163

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Krista K. Merrimac

Date

August 14, 2006



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Docket No.: 021756-017130US

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On August 14, 2006

TOWNSEND and TOWNSEND and CREW LLP  
By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Peter Gassner et al.

Application No.: 10/041,034

Filed: December 28, 2001

For: CUSTOMIZATION OF CLIENT-SERVER INTERACTION IN AN INTERNET APPLICATION

Examiner: Tuan A. Vu

Art Unit: 2193

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the Domestic Priority section of the Filing Receipt. The correct Domestic Priority should read as follows **(with corrections noted in bold text)**:

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/01

In support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 8, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0003] as noted in Exhibit A to the Second Preliminary Amendment. In addition, the serial numbers for related applications have also been updated in paragraph [0004], lines 2, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

Applicants respectfully request the Attorney Docket No. and Addressee sections to be updated. Please update the Attorney Docket No. as follows with corrections noted in bold text:

**021756-017130US**

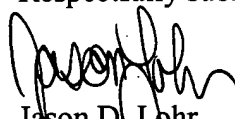
Please correct the Addressee as follows with correction noted in bold text:

**20350**  
**TOWNSEND AND TOWNSEND AND CREW LLP**  
**Two Embarcadero Center**  
**Eighth Floor**  
**San Francisco, CA 94111**

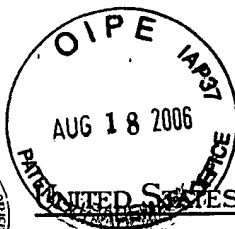
An Application Data Sheet is included with this Response.

Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Applicants respectfully request that the Filing Receipt be corrected.

Respectfully submitted,

  
Jason D. Lohr  
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200/ Fax: (415) 576-0300  
JDL/km



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/041,034	12/28/2001	2152	3102	<del>PA200608</del>	25	74	18

CONFIRMATION NO. 2584

UPDATED FILING RECEIPT



\*OC00000008151367\*

~~22830~~~~CARR & FERRELL LLP~~~~2225 EAST BAYSHORE ROAD~~~~SUITE 200~~~~PALO ALTO, CA 94303~~

S1206

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TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111

Date Mailed: 05/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Peter Gassner, Pleasanton, CA;  
Laura Steward Akel, San Francisco, CA;  
David Wright, Dublin, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

Customization of client-server interaction in an internet application

**Preliminary Class**

709

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Patent/Serial No.: 10/041,034 Issue/Filing Date: December 28, 2001  
Title: Customization of Client-Server Interaction in an Internet Application  
Inventor(s)/Applicant(s): Peter Gassner et al.  
C & F Docket No.: PA2066US Atty/Sec. Initials: SMC/elf  
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date: \_\_\_\_\_

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT & TRADEMARK  
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|--|---|
| <input checked="" type="checkbox"/> Transmittal letter/form <u>PTO/SB/21</u>                         | <input checked="" type="checkbox"/> Certificate of Mailing                                    |
| <input type="checkbox"/> Provisional Application _____ pages + cover                                 | <input type="checkbox"/> Express Mail No. _____   |
| <input type="checkbox"/> Utility Application: _____ pages of specification<br>(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement                                     |
| <input type="checkbox"/> Continuation/Divisional Application   | <input type="checkbox"/> IDS form (PTO/SB/08) (2 copies)                                      |
| <input type="checkbox"/> Design Application  | <input type="checkbox"/> Petition for Extension of Time: _____ months                         |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs.                               | <input type="checkbox"/> Petition for: _____  |
| <input type="checkbox"/> Declaration & POA   | <input type="checkbox"/> Issue Fee Transmittal (2 copies)                                     |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies)                                      | <input type="checkbox"/> PCT Application: _____ pgs of specification<br>(w/claims & abstract) |
| <input type="checkbox"/> Check in the amount of \$ _____   | <input type="checkbox"/> PCT Demand _____ pages   |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet   | <input type="checkbox"/> PCT Request _____ pages  |
| <input checked="" type="checkbox"/> Amendment/Response <u>4</u> pages.                               |   |
| <input type="checkbox"/> Other: _____  |   |

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PTO/SB/21 (08-00)

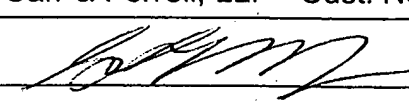
Approved for use through 10/31/2002. OMB 0651-0031


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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/041,034	
	<b>Filing Date</b>	December 28, 2001	
	<b>First Named Inventor</b>	Peter Gassner	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	PA2066US

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Total page number does not include the postcard.	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
<b>Firm or Individual name</b>	Carr & Ferrell, LLP Cust. No. 22830
<b>Signature</b>	 Reg. No. 50,250
<b>Date</b>	July 8, 2002

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>July 8, 2002</u>	
<b>Typed or printed name</b>	Steven M. Colby
<b>Signature</b>	
<b>Date</b>	July 8, 2002

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Peter Gassner, Laura Steward, David Wright.  
SERIAL NO.: 10/041,034  
FILING DATE: December 28, 2001  
TITLE: Customization of Client-Server Interaction in an Internet Application  
EXAMINER: Unknown  
ART UNIT: 2152  
ATTY.DKT.NO.: PA2066US

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Date:

July 8, 2002

Steven M. Colby

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**2<sup>nd</sup> PRELIMINARY AMENDMENT**

The captioned application is U.S. Serial No. 10/041,034, filed December 28, 2001.

Before action, please amend the captioned application as follows.



## **IN THE CROSS-REFERENCE TO RELATED APPLICATIONS**

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

### REMARKS

The present application is U.S. Patent Application Serial No. 10/041,034. This 2<sup>nd</sup> Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Peter Gassner et al.

Date:

July 8, 2002

By:

  
Steven M. Colby, Ph.D.

Registered Patent Agent

Reg. No. 50,250

Carr & Ferrell LLP

2225 East Bayshore Road, Suite 200

Palo Alto, CA 94303

Phone: (650) 812-3424

Fax: (650) 812-3444

## APPENDIX A

[0003] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/\_\_\_\_\_316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0004] This application is related to co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."



## **Application Data Sheet**

### **Application Information**

Application number::	10/041,034
Filing Date::	12/28/01
Application Type::	Regular
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R??::	
Number of CD disks::	
Number of copies of CDs::	
Sequence Submission::	
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	Customization of Client-Server Interaction in an Internet Application
Attorney Docket Number::	021756-017130US
Request for Early Publication::	No
Request for Non-Publication::	No
Suggested Drawing Figure::	
Total Drawing Sheets::	25
Small Entity?::	No
Latin name::	
Variety denomination name::	
Petition included?::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers One::	
Secrecy Order in Parent Appl.::	No

### **Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Peter  
Middle Name::  
Family Name:: Gassner  
Name Suffix::  
City of Residence:: Pleasanton  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 4512 Lin Gate Street  
City of Mailing Address:: Pleasanton  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94566

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Laura  
Middle Name:: Steward  
Family Name:: Akel  
Name Suffix::  
City of Residence:: San Francisco  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 2943 Pine Street  
City of Mailing Address:: San Francisco  
State or Province of mailing address:: CA

Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94115

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: David  
Middle Name::  
Family Name:: Wright  
Name Suffix::  
City of Residence:: Dublin  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 8480C North Lake Drive  
City of Mailing Address:: Dublin  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94568

### **Correspondence Information**

Correspondence Customer Number:: 51206

### **Representative Information**

Representative Customer Number:: 51206

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An Appn claiming benefit under 35 USC 119(e) of	60/273,190	03/02/01
60/273,190	An Appn claiming	60/316,773	08/31/01

benefit under 35 USC  
119(e) of

**Foreign Priority Information**

Country::                      Application number::              Filing Date::

**Assignee Information**

Assignee Name::                      Oracle International Corporation  
Street of mailing address::              500 Oracle Parkway, Mail Stop 5OP7  
City of mailing address::              Redwood Shores  
State or Province of mailing address::      CA  
Country of mailing address::              US  
Postal or Zip Code of mailing address::      94065